



ANNEXURES TO  
NATIONAL LIQUOR REGULATIONS,  
2004

National Liquor Regulations, 2004

# NATIONAL LIQUOR REGULATIONS, 2004

## Annexure 1 - Tables

Table NLA 1 - Methods and times for delivery of documents

Nature of Person (Recipient)	Method of Delivery	Date and Time of Deemed delivery
ANY PERSON	By faxing the notice or a certified copy of the document to the person; or	On the date and at the time recorded by the fax receiver.
	By sending the notice or a certified copy of the document by electronic mail; or	On the date and at the time recorded by the computer used by the sender.
	By sending the notice or a certified copy of the document by registered post to the person's last-known address; or	On the 7 <sup>th</sup> day following the day on which the notice or document was posted as recorded by a post office, except for a document delivered in terms of Item 4(3)(b)(ii) of Schedule 1 of the National Liquor Act, 2003.
	If the person is a participant in any proceedings of the NLA, and is represented by a representative, by delivering the notice, or handing a certified copy of the document to that representative; or	On the date and at the time recorded on a receipt for the delivery.

National Liquor Regulations, 2004  
Annexure 1 - Tables

Nature of Person (Recipient)	Method of Delivery	Date and Time of Deemed delivery
ANY PERSON	By any other means authorised by the NLA; or	In accordance with the decision of the NLA.
	By any other method allowed for that person in terms of the following rows of this Table.	As provided for that method of delivery.
ANY NATURAL PERSON	By handing the notice or a certified copy of the document to the person, or to any representative authorised in writing to accept service on behalf of the person; or	On the date and at the time recorded on a receipt for the delivery.
	By leaving the notice or a certified copy of the document at the person's place of residence or business with any other person who is apparently at least 16 years old and in charge of the premises at the time; or	On the date and at the time recorded on a receipt for the delivery.
	By leaving the notice or a certified copy of the document at the person's place of employment with any person who is apparently at least 16 years old and apparently in authority.	On the date and at the time recorded on a receipt for the delivery.

National Liquor Regulations, 2004

Nature of Person (Recipient)	Method of Delivery	Date and Time of Deemed delivery
THE MINISTER OR THE NATIONAL LIQUOR AUTHORITY	By entering the required information in an electronic representation of that form on the Internet Web site, if any, maintained by the National Liquor Authority, if the document is a prescribed form; or	On the date and at the time recorded by the National Liquor Authority's computer system, as verified by way of reply to the sender of the information.
	By transmitting the document as a separate file attached to an electronic mail message addressed to the National Liquor Authority; or	On the date and at the time recorded by the National Liquor Authority's computer system, unless, within a reasonable period after that date, the National Liquor Authority advises the sender that the file is unreadable.
	By sending a computer disk containing the document in electronic form, by registered post addressed to the National Liquor Authority; or	On the date and at the time of delivery of the registered post to the National Liquor Authority, as recorded by the post office, unless, within a reasonable period after that date, the National Liquor Authority advises the sender that the disk is unreadable.
	By handing the document, or a computer disk containing the document in electronic form, to the Director of the National Liquor Authority, or a responsible employee who is apparently in charge of the National Liquor Authority's office.	On the date and at the time noted in a receipt issued by the Director of the National Liquor Authority, or a delegated employee in the office of the National Liquor Authority, unless, the document is on a computer disk, and, within a reasonable period after that date, the National Liquor Authority advises the sender that the disk is unreadable.

National Liquor Regulations, 2004  
Annexure I - Tables

Nature of Person (Recipient)	Method of Delivery	Date and Time of Deemed delivery
A COMPANY OR SIMILAR BODY CORPORATE	By handing the notice or a certified copy of the document to a responsible employee of the company or body corporate at its registered office or its principal place of business within the Republic; or	On the date and at the time recorded on a receipt for the delivery.
	If there is no employee willing to accept service, by affixing the notice or a certified copy of the document to the main door of the office or place of business.	On the date and at the time sworn to by affidavit of the person who affixed the document.
A PARTNERSHIP OR ASSOCIATION	By handing the notice or a certified copy of the document to a person who is apparently in charge of the premises and apparently at least 16 years of age, at the place of business of the partnership or association, or	On the date and at the time recorded on a receipt for the delivery.
	If the partnership or association has no place of business, by handing the notice or a certified copy of the document to a partner or the chairman or secretary of the managing or other controlling body of the association, as the case may be.	On the date and at the time recorded on a receipt for the delivery.

National Liquor Regulations, 2004

Nature of Person (Recipient)	Method of Delivery	Date and Time of Deemed delivery
A STATUTORY BODY OTHER THAN THE NATIONAL LIQUOR AUTHORITY	By handing the notice or a certified copy of the document to the secretary or similar officer or member of the board or committee of that body, or any person acting on behalf of that body.	On the date and at the time recorded on a receipt for the delivery.
THE STATE OR A PROVINCE	By handing the notice or a certified copy of the document to a responsible employee in any office of the State Attorney.	On the date and at the time recorded on a receipt for the delivery.

Table NLA 2 - Notices and Applications

Section # Regulation # Item # of Schedule #	Purpose of Notice or Application	Form #	Conditions
Section 11	Application for registration	NLA 1	<p>Compliance with instructions on form and payment of prescribed fee.</p> <p>In addition to compliance with instructions on Form NLA 1/1, the following additional information must be furnished with regard to-</p> <p>(a) combating alcohol abuse:</p> <ul style="list-style-type: none"> <li>(i) financial and non-financial contributions to counselling and rehabilitation centres; and</li> <li>(ii) other measures taken to combat alcohol abuse; and</li> </ul> <p>(b) participation in the liquor industry:</p> <ul style="list-style-type: none"> <li>(i) the extent to which the activities will increase or decrease employment opportunities;</li> <li>(ii) the current and/or projected market share;</li> <li>(iii) volume and type of liquor exported, and</li> <li>(iv) list of competitors and their market share.</li> </ul>

National Liquor Regulations, 2004

Section # Regulation # Item # of Schedule #	Purpose of Notice or Application	Form #	Conditions
Section 13 Regulation 15 Item 4 of Schedule I	Consent to, or refusal of, proposed conditions	NLA 7	Compliance with instructions on form.
Section 15	Application to transfer registration	NLA 10	<p>Compliance with instructions on form and payment of prescribed fee.</p> <p>In addition to compliance with instructions on Form NLA 1/1, the following additional information must be furnished with regard to-</p> <p>(a) combating alcohol abuse:</p> <ul style="list-style-type: none"> <li>(i) financial and non-financial contributions to counselling and rehabilitation centres; and</li> <li>(ii) other measures taken to combat alcohol abuse; and</li> </ul> <p>(b) participation in the liquor industry:</p> <ul style="list-style-type: none"> <li>(i) the extent to which the activities will increase or decrease employment opportunities;</li> <li>(ii) the current and/or projected market share;</li> <li>(iii) volume and type of liquor exported; and</li> <li>(iv) list of competitors and their market share.</li> </ul>



## Annexure 1 - Tables

National Liquor Regulations, 2004

Section # Regulation # Item # of Schedule #	Purpose of Notice or Application	Form #	Conditions
Section 15	Notice of acquisition of control by registered person	NLA 11	Compliance with instructions on form.
Section 16	Application for review of conditions of registration	NLA 12	Compliance with instructions on form and payment of prescribed fee.
Section 16	Notice of application for registration as micro-manufacturer or retailer	NLA 13	Compliance with instructions on form.
Section 16	Notice of Intention to alter or relocate registered activities	NLA 14	Compliance with instructions on form and payment of prescribed fee.
Section 17	Application for appointment of person to carry on registered activities	NLA 16	Compliance with instructions on form and payment of prescribed fee.
Section 21	Notice of voluntary cancellation of registration	NLA 17	Compliance with instructions on form.
Section 22	Notice of sequestration or winding up of registrant	NLA 18	Compliance with instructions on form.
Section 26	Complaint	NLA 20	Compliance with instructions on form.

National Liquor Regulations, 2004

Section # Regulation # Item # of Schedule #	Purpose of Notice or Application	Form #	Conditions
Section 32	Objection to Compliance Notice	NLA 22	Compliance with instructions on form.
Item 4(3) of Schedule 1	Notification of conversion	NLA 24	Compliance with instructions on form.
Item 4(5) of Schedule 1	Notice to initiate review	NLA 25	Compliance with instructions on form and payment of prescribed fee.
			<p>In addition to compliance with instructions on Form NLA 1/1, the following additional information must be furnished with regard to-</p> <p>(a) combating alcohol abuse:</p> <ul style="list-style-type: none"> <li>(i) financial and non-financial contributions to counselling and rehabilitation centres; and</li> <li>(ii) other measures taken to combat alcohol abuse; and</li> </ul> <p>(b) participation in the liquor industry:</p> <ul style="list-style-type: none"> <li>(i) the extent to which the activities will increase or decrease employment opportunities;</li> <li>(ii) the current and/or projected market share;</li> <li>(iii) volume and type of liquor exported; and</li> <li>(iv) list of competitors and their market share.</li> </ul>

National Liquor Regulations, 2004  
Annexure I - Tables

Section # Regulation # Item # of Schedule #	Purpose of Notice or Application	Form #	Conditions
Regulation 20	Annual Information Return (Registrants)	NLA 28	Compliance with instructions on form.
Regulation 20	Annual Information Return (Other persons)	NLA 29	Compliance with instructions on form.

National Liquor Regulations, 2004

Table NLA 3 – Certificates and Notices to be issued by National Liquor Authority

Section # Regulation # Item # of Schedule	Purpose of Certificate or Notice	Form #
Regulation 13(4)	Demand for Additional Payment	NLA 2
Regulation 14(1)	Notice of Incomplete Filing	NLA 3
Regulation 14(4)	Demand for Corrected Information	NLA 4
Section 11(2)	Disqualification of Applicant	NLA 5
Section 12	Determination of Application	
Regulation 14(2)	Notice of Non-jurisdiction	
Section 13	Proposal for Conditions of Registration	NLA 6
Regulation 15 Item 4 of Schedule I	Final Statement of Conditions of Registration	NLA 8
Section 13 Regulation 15 Item 4 of Schedule I		

## Annexure 1 - Tables

## National Liquor Regulations, 2004

Section # Regulation # Item # of Schedule	Purpose of Certificate or Notice	Form #
Section 14	Registration Certificate	NLA 9
Section 16	Response to Notice of Intent to Alter Location or Conduct of Registered Activities	NLA 15
Section 25	Inspector's Certificate	NLA 19
Section 31	Compliance Notice	NLA 21
Section 31	Compliance Certificate	NLA 23
Section 26	Notice to Cease Unregistered Activity	NLA 26
Regulation 18		
Section 35 (3)	Order for Destruction or Sale of Forfeited Liquor	NLA 27
Item 4 (?) of Schedule 1	Notice of Continuing Registration	NLA 30
Section 20	Notice of Cancellation of Registration	NLA 31
Item 4(?) of Schedule 1		